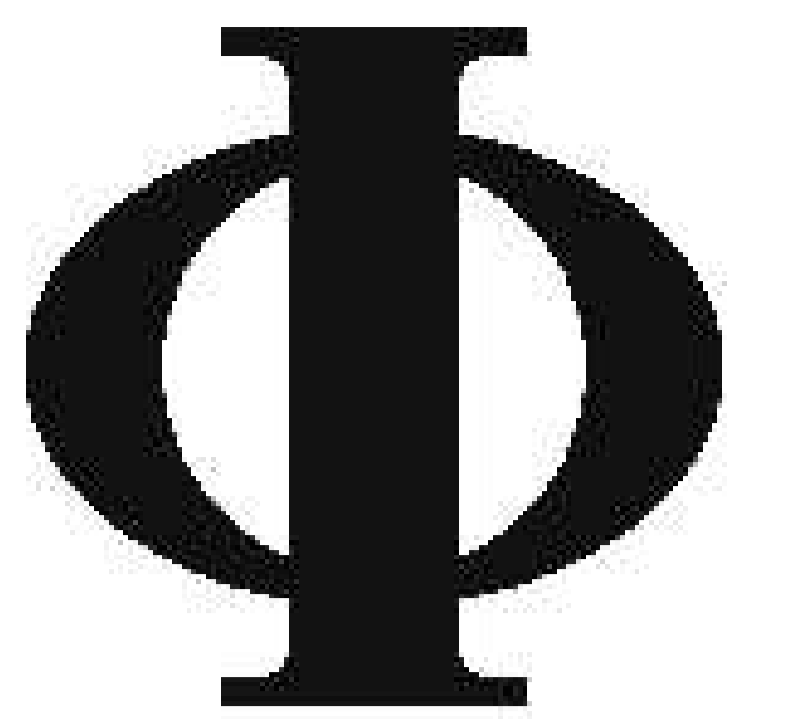


# *Ab initio* calculation of electronic properties for dangling bond free nitrated silicon

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## Abstract

Silicon oxynitride is used by the semiconductor industry as Gate oxide for modern MOSFETs. For a low nitrogen concentration the theoretical calculation of electronic properties is difficult due to large unit cells and amorphous structures. Using *ab initio* density-functional theory the influence of electrical inactive nitrogen in a silicon oxide matrix was investigated. We report the calculated values of the total energies, density of states, band gap and dielectric constant for different concentrations of nitrogen. By classical MC and CPMD approximate unit cells were obtained for the amorphous structures. The exact value for the band gap was calculated by means of the GW-method and the dielectric response was calculated in the framework of first order perturbation theory as implemented in the ABINIT program.

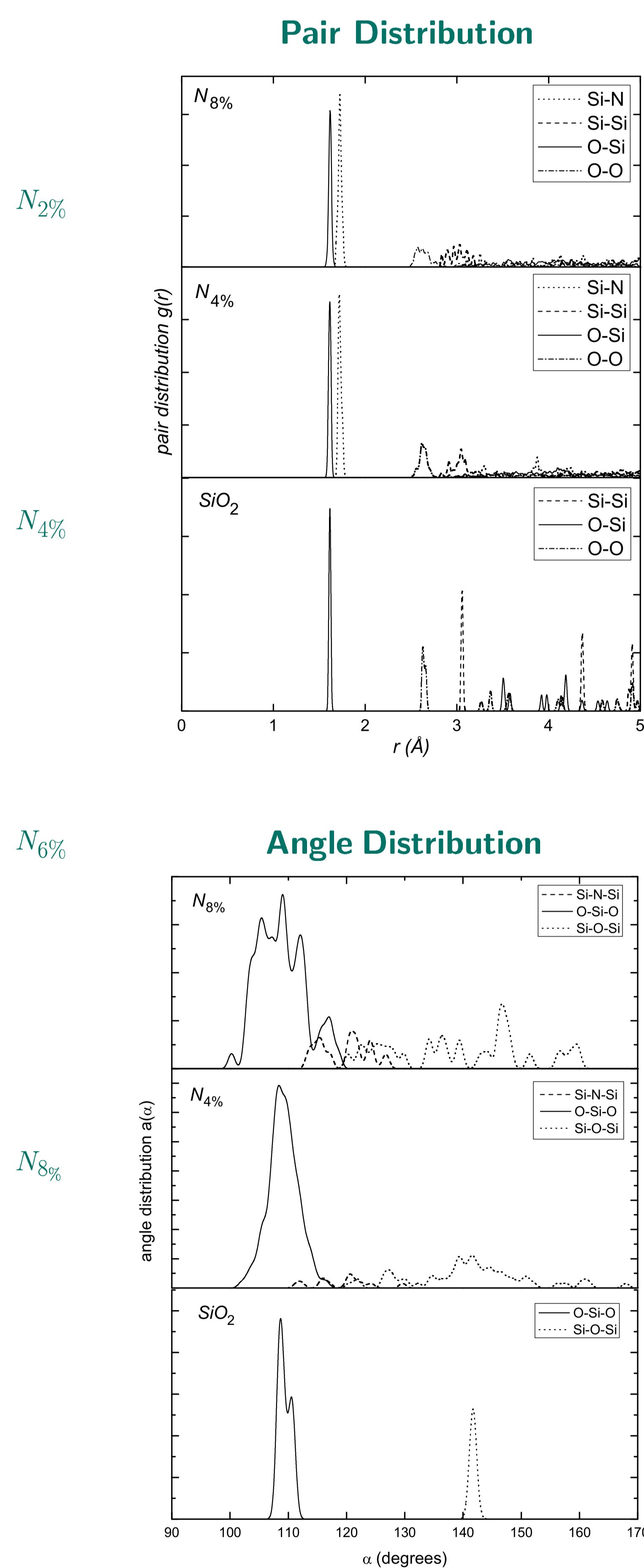
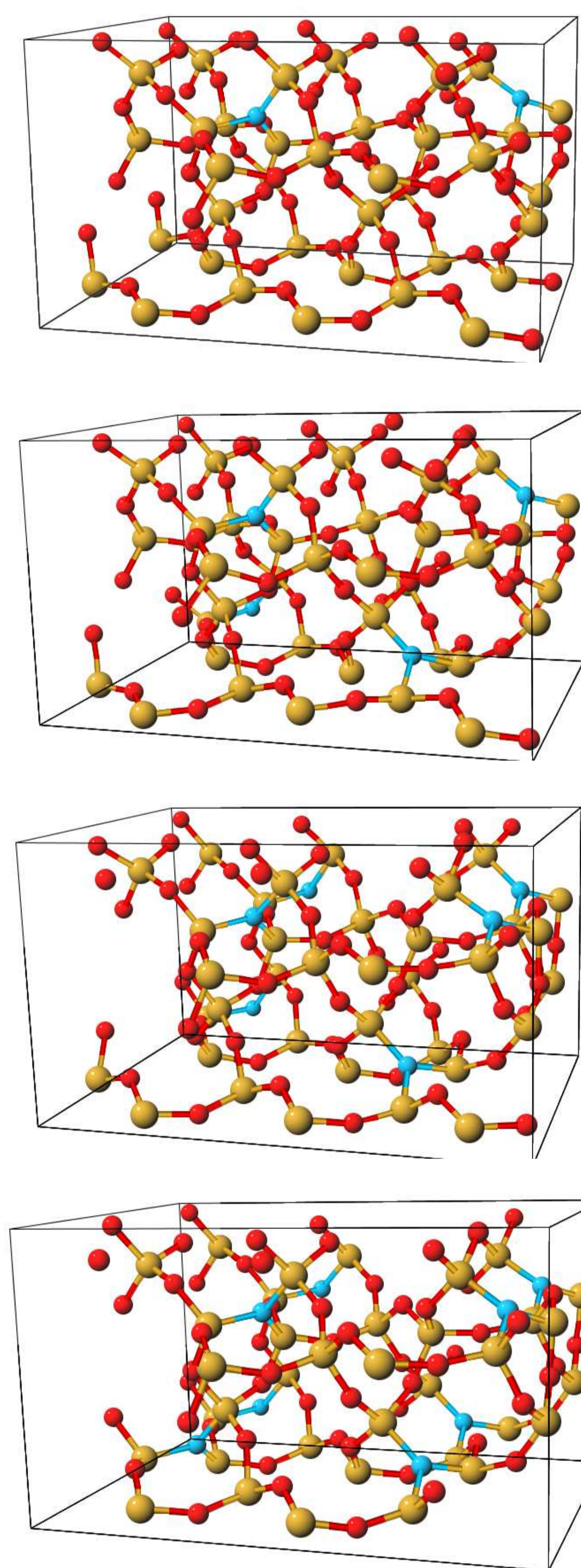
## Methods

- classical MC [3] was used to get a starting point for the amorphous structures
- classical pair potentials have been calculated with GAUSSIAN [4]
- CPMD [1] geometry optimization of amorphous structures
- ABINIT [2] calculation of electronic properties
- DFT-LDA TM-Pseudopotentials[5], plane wave basis set  $\Rightarrow$  total energy, density of states
- GW correction of the LDA band structure  $\Rightarrow$  band gap
- Response Function  $\Rightarrow$  dielectric constant

## Conclusions

- first DFT calculation of dangling bond free  $SiO_xN_y$  with low nitrogen concentration
- an increasing amorphous character of the structure with increasing nitrogen content was observed
- detailed investigation of changes in the density of states
- it was shown that the GW Approximation leads to good results for the band gap
- good agreement between experimental and theoretical results for dielectric constant

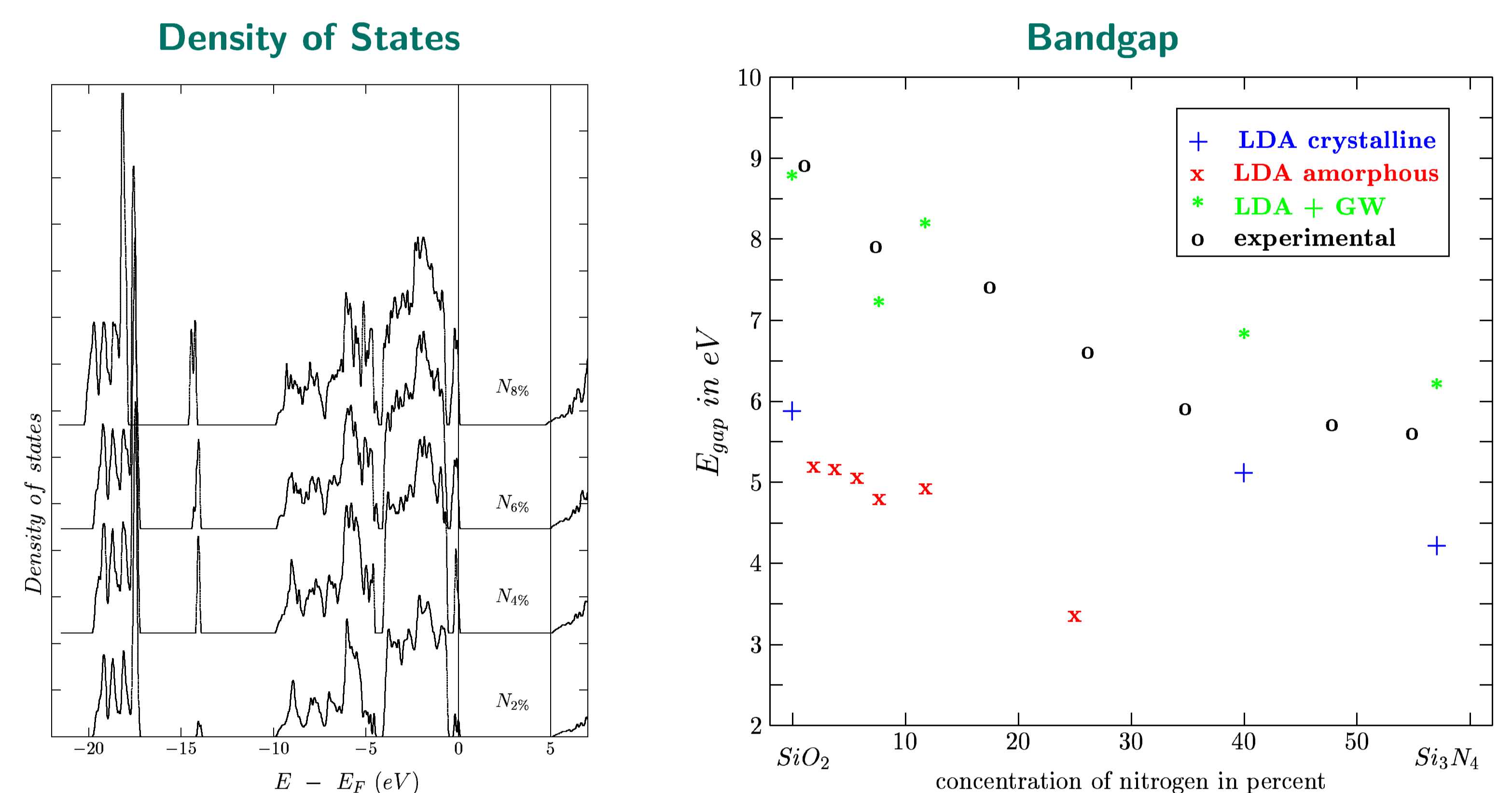
## Structure Models



System	Number of atoms	N (%)
$\alpha$ - $SiO_2$	9	0
$Si_2N_2O$	20	40.0
$\beta$ - $Si_3N_4$	14	57.1
$N_2\%$	107	1.87
$N_4\%$	106	3.77
$N_6\%$	105	5.71
$N_8\%$	104	7.69
$N_{12\%}$	17	11.8
$N_{25\%}$	16	25.0

- both the pair and the angle distribution indicate the amorphous character of the structures
- all structures are dangling bond free
- distribution of nitrogen is homogeneous

## Electronic Properties

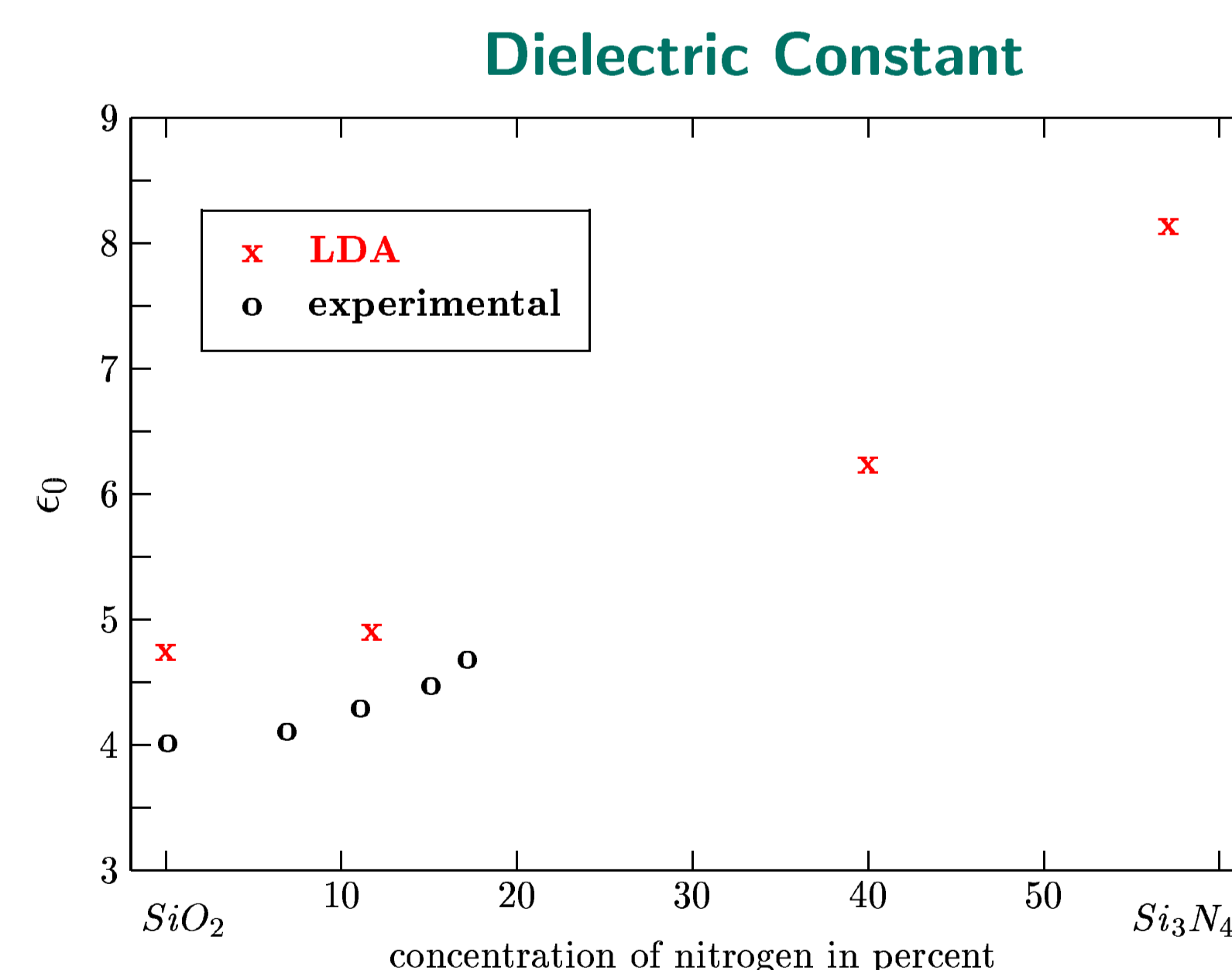


- new states inside the original band gap of  $\alpha$ -quartz at top of the valence band
- the bottom of the conduction band remains unchanged
- energy level of the N 2s states is about -14eV

- the LDA is known to underestimate the band gap of semiconductors
- the band gap for the amorphous structures is smaller as the one for the crystalline phases
- GW Method leads to good agreement with experimental results

## Total Energy

	$\alpha$ - $SiO_2$	$Si_2N_2O$	$\beta$ - $Si_3N_4$	$N_2\%$	$N_4\%$	$N_6\%$	$N_8\%$
Total Energy (Ha)	-113.4	-186.3	-110.7	-1331.2	-1302.1	-1273.1	-1237.6
Energy per valence electron	-2.36	-1.94	-1.73	-2.34	-2.325	-2.306	-2.275



- the LDA is known to overestimate the dielectric constant
- the change of the dielectric constant is in conjunction with experimental results for a thin silicon oxynitride layer as gate insulator in a nmos transistor

## References

- [1] CPMD code, Copyright IBM (1900-2006), Copyright MPI für Festkörperforschung Stuttgart (1997-2001) (URL <http://www.cpmc.org>)
- [2] The ABINIT code is a common project of the Université Catholique de Louvain, Corning Incorporated, and other contributors (URL <http://www.abinit.org>).
- [3] A. Martinez-Limia, own MC-Code, W.L. Scopel et al. Phys. Rev. **B68**, 155332 (2003)
- [4] Gaussian code (URL <http://www.gaussian.com>)
- [5] TM Pseudopotentials, generated by A. Khein and D.C. Allan (URL <http://www.abinit.org/Psps/?text=psps>)
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